

Title 35-A: PUBLIC UTILITIES
Chapter 15: SANCTIONS AND ADMINISTRATIVE PENALTIES

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Maine Revised Statutes
Title 35-A: PUBLIC UTILITIES
Chapter 15: SANCTIONS AND ADMINISTRATIVE PENALTIES

§1501. UTILITY LIABLE FOR CIVIL DAMAGES

If a public utility violates this Title, causes or permits a violation of this Title or omits to do anything that this Title requires it to do it may be liable in damages to the person injured as a result. Recovery under this section does not affect a recovery by the State of the penalty prescribed for the violation. [1987, c. 141, Pt. A, §6 (NEW).]

SECTION HISTORY
1987, c. 141, §A6 (NEW).

§1502. CONTEMPT

Every public utility or person that fails to comply with an order, decision, rule, direction, demand or requirement of the commission or of a commissioner is in contempt of the commission and shall be punished by the commission for contempt in the same manner as contempt is punished by courts of record. Punishment for contempt is not a bar to and does not affect any other remedy prescribed in this Title, but is cumulative and in addition to other remedies. [1987, c. 141, Pt. A, §6 (NEW).]

SECTION HISTORY
1987, c. 141, §A6 (NEW).

§1503. REFUSAL TO OBEY OR COMPLY
(REPEALED)

SECTION HISTORY
1987, c. 141, §A6 (NEW). 2003, c. 505, §20 (RP).

§1504. EACH DAY, DISTINCT OFFENSE
(REPEALED)

SECTION HISTORY
1987, c. 141, §A6 (NEW). 2003, c. 505, §20 (RP).

§1505. ILLEGAL ISSUE OF STOCKS, BONDS OR NOTES
(REPEALED)

SECTION HISTORY
1987, c. 141, §A6 (NEW). 2003, c. 505, §20 (RP).

§1506. MISAPPROPRIATION OF PROCEEDS
(REPEALED)

SECTION HISTORY
1987, c. 141, §A6 (NEW). 2003, c. 505, §20 (RP).

§1507. FALSE STATEMENTS AS TO ISSUE OF STOCKS, BONDS OR NOTES **(REPEALED)**

SECTION HISTORY

1987, c. 141, §A6 (NEW). 2003, c. 505, §20 (RP).

§1507-A. FALSE STATEMENTS

It is a Class C crime for any person to make or cause to be made, in any document filed with the commission or in any proceeding under this Title, any statement that, at the time and in light of the circumstances under which it is made, is false in any material respect and that the person knows is false in any material respect. [2003, c. 505, §21 (NEW).]

SECTION HISTORY

2003, c. 505, §21 (NEW).

§1508. PUNISHMENT WHERE NO PENALTY **(REPEALED)**

SECTION HISTORY

1987, c. 141, §A6 (NEW). 2003, c. 505, §22 (RP).

§1508-A. ADMINISTRATIVE PENALTY

1. Penalty. Unless otherwise specified in law, the commission may, in an adjudicatory proceeding, impose an administrative penalty as specified in this section.

A. For willful violations of this Title, a commission rule or a commission order by a public utility, voice service provider, dark fiber provider, wholesale competitive local exchange carrier or a competitive electricity provider, the commission may impose an administrative penalty for each violation in an amount that does not exceed \$5,000 or .25% of the annual gross revenue that the public utility, voice service provider, dark fiber provider, wholesale competitive local exchange carrier or the competitive electricity provider received from sales in the State, whichever amount is lower. Each day a violation continues constitutes a separate offense. The maximum administrative penalty for any related series of violations may not exceed \$500,000 or 5% of the annual gross revenue that the public utility, voice service provider, dark fiber provider, wholesale competitive local exchange carrier or the competitive electricity provider received from sales in the State, whichever amount is lower. [2011, c. 623, Pt. B, §5 (AMD).]

B. For a violation in which a public utility, voice service provider, dark fiber provider, wholesale competitive local exchange carrier or a competitive electricity provider was explicitly notified by the commission that it was not in compliance with the requirements of this Title, a commission rule or a commission order and that failure to comply could result in the imposition of administrative penalties, the commission may impose an administrative penalty that does not exceed \$500,000. [2011, c. 623, Pt. B, §5 (AMD).]

C. The commission may impose an administrative penalty in an amount that does not exceed \$1,000 on any person that is not a public utility, voice service provider, dark fiber provider, wholesale competitive local exchange carrier or a competitive electricity provider and that violates this Title, a commission rule or a commission order. Each day a violation continues constitutes a separate offense. The administrative penalty may not exceed \$25,000 for any related series of violations. [2011, c. 623, Pt. B, §5 (AMD).]

D. In addition to the administrative penalties authorized by this subsection, the commission may require disgorgement of profits or revenues realized as a result of a violation of this Title, a commission rule or a commission order. [2003, c. 505, §23 (NEW).]

[2011, c. 623, Pt. B, §5 (AMD).]

2. Considerations. In determining the amount of an administrative penalty under this section, the commission shall take into account:

A. The severity of the violation, including the intent of the violator and the nature, circumstances, extent and gravity of the prohibited act; [2003, c. 505, §23 (NEW).]

B. The reasonableness of the violator's belief that the violator's action or lack of action was in conformance with this Title, a commission rule or a commission order; [2003, c. 505, §23 (NEW).]

C. The violator's history of previous violations; [2003, c. 505, §23 (NEW).]

D. The amount necessary to deter future violations; [2003, c. 505, §23 (NEW).]

E. The violator's good faith attempts to comply after notification of a violation; and [2003, c. 505, §23 (NEW).]

F. Such other matters as justice requires. [2003, c. 505, §23 (NEW).]

[2003, c. 505, §23 (NEW).]

SECTION HISTORY

2003, c. 505, §23 (NEW). 2011, c. 623, Pt. B, §5 (AMD).

§1509. LIMITATION ON IMPOSING PENALTY

An action that may result in the imposition of an administrative penalty under this chapter must be commenced within 5 years after the cause of action accrues. [2003, c. 505, §24 (AMD).]

SECTION HISTORY

1987, c. 141, §A6 (NEW). 2003, c. 505, §24 (AMD).

§1510. PENALTY FOR FAILURE TO FILE

(REPEALED)

SECTION HISTORY

1989, c. 86, (NEW). 2003, c. 505, §25 (RP).

§1510-A. DISPOSITION OF ADMINISTRATIVE PENALTY

The disposition of administrative penalties collected by the commission is governed by section 117, subsection 3. [2005, c. 432, §2 (RPR).]

SECTION HISTORY

2003, c. 505, §26 (NEW). 2005, c. 432, §2 (RPR).

§1511. REVOCATION; SUSPENSION

The commission may, in an adjudicatory proceeding, suspend or revoke the authority of a public utility to provide service upon a finding that the public utility is unfit to provide safe, adequate and reliable service at rates that are just and reasonable. The commission shall provide notice and a reasonable opportunity for the

public utility to comply with its obligations under this Title prior to suspending or revoking the authority of a public utility to provide service pursuant to this section. The authority granted in this section is in addition to the commission's authority under section 1321. [2003, c. 505, §27 (NEW) .]

SECTION HISTORY

2003, c. 505, §27 (NEW) .

§1512. UNAUTHORIZED SERVICE

The commission may order any person to cease and desist from providing service if it finds that the person has not obtained commission authorization to provide the service as required by this Title. The commission may impose the sanctions and penalties of this chapter upon any person that provides service without first obtaining commission authorization as required by this Title. [2003, c. 505, §27 (NEW) .]

SECTION HISTORY

2003, c. 505, §27 (NEW) .

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